



NOV 18 2005

Attorney Docket No. 1037/US/2  
Express Mail Label No. EV 683300276 US

3682  
TFW

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicant	: Gregory H. Petrak	
Appln. No.	: 09/441,628	Confirmation No: 4716
Filed	: November 16, 1999	Group Art Unit: 3682
Title	: PARK BRAKE CABLE SYSTEM INCLUDING CONNECTOR CLIP	Examiner: Luong, Vinh

**INFORMATION DISCLOSURE STATEMENT UNDER 37 C.F.R. §§1.97(b)(4) and 1.98 and  
STATEMENT OF RELATEDNESS UNDER M.P.E.P. § 2001.06(b)**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The Examiner is requested to consider the references noted on the enclosed Form PTO/SB/08a during examination of the above-identified patent application. These references are submitted for the Examiner's consideration and are submitted pursuant to the duty of disclosure under 37 C.F.R. § 1.56. In submitting these references, no representation is made or implied that the references are or are not material to the examination of the application. The Examiner is encouraged to make his or her own determination of materiality. Pursuant to the U.S. Patent and Trademark Office's Consolidated Patent Rules of February 1, 2005, waiver of the requirements of 37 C.F.R. § 1.98(a)(2)(ii), only copies of the foreign references and non-patent literature documents are provided. Copies of the U.S. patent and U.S. patent application publication references are not provided, unless required by the Office.

In satisfaction of the duty of disclosure under 37 C.F.R. § 1.56, and as required by M.P.E.P. § 2001.06(b), the Examiner is requested to consider the following application commonly owned by the Assignee of the present application and that may include subject matter similar to that of the present application:

- (1) U.S. patent application no. 11/059,210, filed February 15, 2005, pending.

This Information Disclosure Statement is being filed before the first Office action, after the filing of a Request for Continued Examination. Accordingly, pursuant to 37 C.F.R.

§ 1.97(b)(4), no fees are due with respect to this filing. However, should any such fees or petitions be required, please consider this a request therefor and authorization to charge Deposit Account No. 04-1415 as necessary.

If the Examiner has any questions, please contact the undersigned attorney.

Dated: November 17, 2005

Respectfully submitted,



---

Charles R. Matson, Registration No. 52,006  
Attorney for Applicant  
USPTO Customer No. 20686

DORSEY & WHITNEY LLP  
370 Seventeenth Street, Suite 4700  
Denver, Colorado 80202-5647  
Tel: 303-629-3400  
Fax: 303-629-3450



Attorney Docket No.: 1037/US/2  
Express Mail Label No.: EV 683300276 US

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re Application of:

Applicant	: Gregory H. Petrak	
Appln. No.	: 09/441,628	Confirmation No.: 4716
Filed	: November 16, 1999	Group Art Unit: 3682
Title	: PARK BRAKE CABLE SYSTEM INCLUDING CONNECTOR CLIP	Examiner: Luong, Vinh

**CERTIFICATE OF MAILING BY EXPRESS MAIL**

MAIL STOP AMENDMENT  
Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sir:

The undersigned hereby certifies that the following documents:

1. Information Disclosure Statement (2 pages);
2. Form PTO/SB/08a (2 pages);
3. Copies of Five (5) References;
4. Certificate of Mailing by Express Mail (1 page); and
5. Return Card

relating to the above application, were deposited as "Express Mail" under 37 CFR § 1.10, Mailing Label No. EV 683300276 US, with the United States Postal Service addressed to Mail Stop Amendment, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450 on November 17, 2005.

Mailer's Signature  
Print Name: Jane M. Lalis

EV683300276US

Dorsey & Whitney LLP

Tel: 303-629-3400  
Fax: 303-629-3450

PTO/SB/08a (08-03)  
U.S. Patent and Trademark Office; U.S. DEPARTMENT OF COMMERCE  
Substitute for Form 1449A/PTO

APPLICATION NO.:

09/441,628

FILING DATE:

November 16, 1999

APPLICANT:

Gregory H. Petrak

ART UNIT:

3682

EXAMINER NAME:

Luong, Vinh

ATTY. DOCKET NO.:

1037/US/2

# INFORMATION DISCLOSURE STATEMENT BY APPLICANT

Use as many sheets as necessary)

## U.S. PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	PATENT NUMBER	ISSUE DATE	Name of Patentee of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
	1.	5,802,929	09/08/1998	Furukawa et al.	
	2.	6,328,138	12/11/2001	Takizawa	

## U.S. PUBLICATION DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	PUBLICATION NUMBER	PUBLICATION DATE	Name of Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear
		Number – Kind Code <sup>2</sup> (if known)	MM-DD-YYYY		
	3.	2003/0075001	04/24/2003	Petrak	
	4.	2003/0227010	12/11/2003	Petrak	

## FOREIGN PATENT DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>1</sup>	DOCUMENT NUMBER	PUBLICATION DATE	Name of PATENTEE or Applicant of Cited Document	Pages, Columns, Lines, Where Relevant Passages or Relevant Figures Appear	T <sup>6</sup>
		Country Code <sup>2</sup> – Number <sup>3</sup> – Kind Code <sup>5</sup> (if known)	MM-DD-YYYY			

## OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS

EXAMINER INITIALS*	Cite No. <sup>2</sup>	Include name of Author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>3</sup>
	5.	Office Action of April 29, 2005; Application No. 10/254,050, filed September 23, 2002.	
	6.	Office Action of October 13, 2004, Application No. 10/254,050, filed September 23, 2002.	

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>1</sup> Applicant's unique citation number (optional). <sup>2</sup> See Kinds Codes of USPTO Patent Documents at [www.uspto.gov](http://www.uspto.gov) or MPEP 901.04. <sup>3</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>4</sup> Enter Office that issued the document, by the two-letter code (WIPO Standard ST.3). <sup>5</sup> For Japanese patent documents, the indication of the year of the reign of the Emperor must precede the serial number of the patent document. <sup>6</sup> Kind of document by the appropriate symbols as indicated on the document under WIPO Standard ST. 16 if possible. <sup>6</sup> Applicant is to place a check mark here if English language Translation is attached.

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.

<sup>2</sup> Applicant's unique citation number (optional).

<sup>2</sup> Applicant is to place a check mark here if English language Translation is attached.

OTHER PRIOR ART – NON PATENT LITERATURE DOCUMENTS			
EXAMINER INITIALS <sup>1</sup>	Cite No. <sup>4</sup>	Include name of Author (in CAPITAL LETTERS), title of the article (when appropriate), title of the item (book, magazine, journal, serial, symposium, catalog, etc.), date, page(s), volume-issue number(s), publisher, city and/or country where published.	T <sup>5</sup>
	7.	Office Action of May 19, 2004, Application No. 10/254,050, filed September 23, 2002.	
	8.	Office Action of September 16, 2004, Application No. 10/369,989, filed February 18, 2003.	
	9.	Office Action of June 13, 2005, Application No. 10/369,989, filed February 18, 2003.	
EXAMINER		DATE CONSIDERED	
EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.			

\* EXAMINER: Initial if citation considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant

<sup>4</sup> Applicant's unique citation number (optional).

<sup>5</sup> Applicant is to place a check mark here if English language Translation is attached.